

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Parker et al.

Serial No.: not yet assigned

Group Art Unit:

Filed: January 16, 2004

Examiner:

For: PROCESS FOR PRODUCTION OF A CARBOXYLIC ACID/DIOL  
MIXTURE SUITABLE FOR USE IN POLYESTER PRODUCTION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

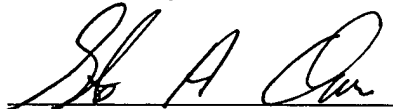
**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR 1.97(b)**

In accordance with 37 CFR 1.56, the documents listed on the attached Information Disclosure Statement by Applicant are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the cited documents are enclosed.

☐ An International Search Report in connection with the corresponding PCT application has been received. A copy is enclosed.

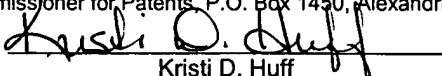
The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last.

Respectfully submitted,

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## CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that this paper (along with any paper(s) referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Kristi D. Huff1/15/04  
Date

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Substitute for form 1449

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

Attorney Docket Number 80002/US01

First Named Inventor Parker et al.

**COMPLETE IF KNOWN**

Application Number

Filing Date

Group Art Unit

Sheet

1

of

1

Examiner Name

## **U.S. PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | U.S. Patent Document |                                      | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited<br>Document<br>MM-DD-YYYY |
|-----------------------|--------------------------|----------------------|--------------------------------------|---|--|
|                       |                          | Number               | Kind Code <sup>2</sup><br>(if known) |   |  |
|                       |                          | 3,057,909            |                                      | Sebelist et al.                                 | 10-09-1962   |
|                       |                          | 3,584,039            |                                      | Meyer   | 06-08-1971   |
|                       |                          | 3,850,983            |                                      | Park  | 11-26-1974   |
|                       |                          | 3,931,305            |                                      | Fisher  | 01-06-1976   |
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|                       |                          | SN 10/383,126        |                                      | Lin   | Filed 03-06-2003                                       |

## **FOREIGN PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document |                     |                                      | Name of Patentee or Applicant of Cited Document | Date of Publication<br>of Cited Document<br>MM-DD-YYYY | T <sup>6</sup> |
|-----------------------|--------------------------|-------------------------|---------------------|--------------------------------------|---|--|----------------|
|                       |                          | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) |   |  |                |
|                       |                          | WO                      | 98/38150            | A1                                   | E.I. DuPont De Nemours and<br>Company           | 09-03-1998   |                |
|                       |                          | JP                      | 2003-128624         | A                                    | Teijin, Ltd.                                    | 05-08-2003   | √              |
|                       |                          |                         |                     |                                      |   |  |                |

Examiner  
Signatur

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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| Substitute for form 1449<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b> |   |    |   | Attorney Docket Number |  | 80002/US01    |  |  |  |
|  |   |    |   | First Named Inventor   |  | Parker et al. |  |  |  |
|  |   |    |   | COMPLETE IF KNOWN      |  |               |  |  |  |
|  |   |    |   | Application Number     |  |               |  |  |  |
|  |   |    |   | Filing Date            |  |               |  |  |  |
|  |   |    |   | Group Art Unit         |  |               |  |  |  |
| Sheet  | 2 | of | 2 | Examiner Name          |  |               |  |  |  |

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS |                       |   |                |
|---|-----------------------|---|----------------|
| Examiner Initials*                                | Cite No. <sup>1</sup> | Include name of the author(in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>6</sup> |
|   |                       | ARUN PAL ANEJA and VINEY PAL ANEJA, "The Effect of Water and Air Contamination on Poly (Ethylene Terephthalate) Formation", <i>Polymer Engineering Reviews</i> , 1982, Pages 123-133, Vol. 2, No. 2.  |                |
|   |                       | M. MATIES, R. BACAI OGLU, R.F. PAIE & H.H. GLATT, "Study of Di- and Polyesterification, I. Esterification of Ethylene and Diethylene Glycols with Acetic Acid", (1978), CHEMICAL BULLETIN OF THE TECHNICAL UNIVERSITY OF TIMISOARA, 23(37), pp. 73-76.          | √              |

|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

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